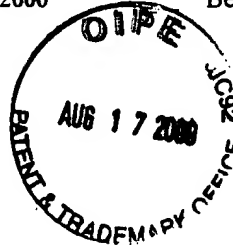## FORMALITIES LETTER

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OC000000005291957

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/587,180	06/02/2000	Bernard A. Traversat	5181-49700

Robert C Kowert
Conley Rose & Tayon PC
P O Box 398
Austin, TX 78767

Date Mailed: 08/02/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
  Applicant must submit $ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is $252.
  - $252 for **14** total claims over 20.
- The oath or declaration is missing.
  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is $ 1072.**

A copy of this notice __MUST__ be returned with the reply.

Customer Service Center
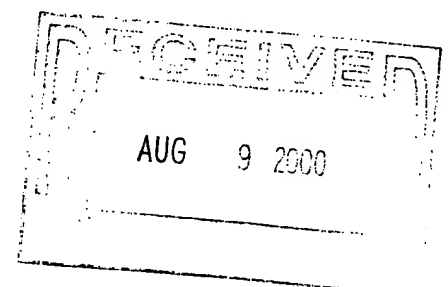Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

08/18/2000 EHAMMOND 00000060 09587180

01 FC:101	690.00 OP
02 FC:103	252.00 OP
03 FC:105	130.00 OP

DOCKETED

BY:_____

DATE:____8\10____

RECEIVED
AUG 9 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/587,180	§	Examiner: Unknown
Filed: June 2, 2000	§	
Inventor(s):	§	Group/Art Unit: 2751
Bernard A. Traversat,	§	Atty. Dkt. No: 5181-49700
Michael Duigou,	§	
Thomas E. Saulpaugh,	§	
Gregory L. Slaughter	§	
Title: Virtual Heap for a Virtual Machine	§	

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application, mailed August 2, 2000, there is enclosed herewith:

☑   An Assignment of the invention to Sun Microsystems, Inc.

☑   A combined Declaration and Power of Attorney executed by the inventor(s)

☑ A check in the amount of $1,112.00 to cover:

filing fee for large entity ($690.00)

surcharge for late filing of oath or declaration for large entity ($130.00)

assignment fee ($40.00)

extra independent claims ($00)

extra dependent claims ($252.00)

☑ A copy of Notice to File Missing Parts of Application Filing Date Granted

☑ A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 03-2769/5181-49700/RCK.

Respectfully submitted,

Robert C. Kowert
Reg. No. 39,255
Attorney for Applicants

Conley, Rose & Tayon, P.C.
P.O. Box 398
Austin, Texas 78767-0398
Ph: (512) 476-1400

Date:_ August 15, 2000

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